

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Force Risk Reduction and Reporting (FR3)

2. DOD COMPONENT NAME:

Under Secretary of Defense for Personnel and Readiness

3. PIA APPROVAL DATE:

05/02/2025

Force Safety and Occupational Health (FSOH)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- ☐ From members of the general public ☐ From Federal employees
- ☒ from both members of the general public and Federal employees ☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

- ☐ New DoD Information System ☐ New Electronic Collection
- ☒ Existing DoD Information System ☐ Existing Electronic Collection
- ☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Force Risk Reduction and Reporting (FR3) is the DoD's modernized enterprise safety and occupational health data collection and data analytics system. FR3 improves efficiency and identification of appropriate risk mitigations by fusing unrelated safety data environments and the various reporting assessment metrics into one data store and analysis system in order to automate, integrate, and ensure continuous improvement of safety and occupational health across the Department of Defense, as well as support the prevention and management of injuries and illnesses due to work-related activities and reduce the adverse impact on operational readiness. This system integrates common data elements, as incorporated into DoDI 6055.07, "Mishap Notification Investigation, Reporting, and Record Keeping" in 2019, to establish a common language for reporting, trending and evaluating injuries, mishaps, and fatalities across and between the Military Departments and DoD Agencies. Information may also be used to collect and analyze safety and occupational health experience and exposure data for further study and train personnel in safety and health, document and correlate relationships between planned actions and resultant DoD mishaps and near misses, and support mishap and hazard prevention efforts.

To support this mission information is collected and maintained on individuals who suffer injuries or illness caused by a DoD mishap, or individuals otherwise involved in a DoD mishap, near miss, or hazard and/or related investigation/assessment. Specifically:

- Personal information including: first name, last name, Social Security Number (SSN), DoD ID number, date of birth, age, sex, email address, and phone number.
- Employment and education information including: grade/rank, job title, military unit destination (assigned and duty), branch (agency/component, activity) and location.
- Health information including: alcohol use, drug use, fatigue factors, type and location of injury or illness, date of injury or illness, evaluation and treatment summary (lost days, limited, etc.), and disability information.
- Other information including: personal protective equipment use, reports and witness statements, and investigation findings and recommendations.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Verification; Identification; Mission-related use

e. Do individuals have the opportunity to object to the collection of their PII? ☒ Yes ☐ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The reporter of a Hazard Notification has the option to submit it anonymously. All other individuals may object to the collection of their PII,

however, such objection may result in disciplinary action. Additionally, once collected the information will only be used in accordance with the purposes and authorities outlined within the Privacy Act Statement provided at the point of collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals do not have the opportunity to consent to the specific uses of their PII. However, once collected the information will only be used in accordance with the purposes and authorities outlined within the Privacy Act Statement provided at the point of collection.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

☒ Privacy Act Statement ☐ Privacy Advisory ☐ Not Applicable

Privacy Act Statement

AUTHORITY: 10 U.S.C. 113, Secretary of Defense; 5 U.S.C. 7902, Safety Programs; Executive Order (E.O.) 12196, Occupational Safety and Health Act of 1970; DoD Instruction (DoDI) 6055.01, DoD Safety and Occupational Health (SOH) Program; DoDI 6055.05, Occupational and Environmental Health (OEH); DoDI 6055.07, Mishap Notification, Investigation, Reporting, and Record Keeping; and E.O. 9397 (SSN), as amended.

PURPOSE: To support the prevention and management of injuries and illnesses due to work-related activities and reduce the adverse impact on operational readiness. Information may also be used to collect and analyze safety and occupational health experience and exposure data for further study and train personnel in safety and health, and document and correlate relationships between planned actions and resultant DoD mishaps and near misses, and support mishap and hazard prevention efforts.

ROUTINE USES: Disclosure of records are generally permitted under 5 U.S.C. 522a(b) of the Privacy Act of 1974, as amended. Pursuant to 5 U.S.C. 522a(b)(3), records may be disclosed as a routine use to the U.S. Department of Labor, the Federal Aviation Administration, the National Transportation Safety Board, and state or local government organizations for use in a combined effort of mishap prevention and post analysis of factual data. A complete list of routine uses may be found in the applicable System of Records Notice, DoD Safety and Occupational Health Program Records, currently pending publication.

DISCLOSURE: Voluntary. However, failure to provide requested information may result in disciplinary action.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

- | | | |
|--|----------|----------------------|
| <input type="checkbox"/> Within the DoD Component | Specify. | <input type="text"/> |
| <input type="checkbox"/> Other DoD Components (i.e. Army, Navy, Air Force) | Specify. | <input type="text"/> |
| <input type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. | <input type="text"/> |
| <input type="checkbox"/> State and Local Agencies | Specify. | <input type="text"/> |
| <input type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | <input type="text"/> |
| <input type="checkbox"/> Other (e.g., commercial providers, colleges). | Specify. | <input type="text"/> |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|---|
| <input checked="" type="checkbox"/> Individuals | <input type="checkbox"/> Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | <input type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems | |

Defense Manpower Data Center Data Base, Defense Civilian Personnel Data System, Defense Enrollment Eligibility Reporting System (DEERS).

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|---|---|
| <input checked="" type="checkbox"/> E-mail | <input checked="" type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input checked="" type="checkbox"/> In-Person Contact | <input checked="" type="checkbox"/> Paper |
| <input type="checkbox"/> Fax | <input checked="" type="checkbox"/> Telephone Interview |

☒ Information Sharing - System to System ☒ Website/E-Form

☐ Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☒ Yes ☐ No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date.

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII.
(If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☐ No ☐ Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

OMB Control Number (if approved): 0701-0164

Title of Collection: Air Force Safety Automated System (AFSAS) Preliminary Mishap/Incident Reporting

Expiration Date (if approved): 02/28/2026